

| Metadata | |
|------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Table code | TFIIC_TXN_SMY_001_S2 |
| Title | Securities outstanding classified by instrument type (1992 – 2008) |
| Frequency ,Lag time and Release schedule | Frequency : Monthly Lag time : 40 days Release schedule : Preliminary: Day 10th Actual: Revision is made when updated data become available and published in the next release schedule. |
| Scope of the data | Debt securities in (1) port accounts, (2) client accounts under the care of custodians or brokers or (3) public-sector scrips registered with the Bank of Thailand. Equity securities / derivatives , both scrip and scripless, in (1) port accounts and (2) client accounts under the care of custodians or brokers. |
| Accounting Conventions | Debt securities: Month-end outstanding amount at par value Equity / Derivatives: Month-end outstanding amount at market value Unit trust: Month-end outstanding amount at net asset value |
| Definition | <p>Debt security is a security that is issued by a government or corporation in exchange for money and entitles the holder to receive interest payments and, at maturity, the principal. In general, debt securities are less risky than stocks.</p> <p>Equity security is a security that represents ownership in a corporation. In general, stocks are riskier than debt securities as they have a lower priority claim, in the event of liquidation. The advantage of holding the ownership is that the holders will enjoy the success of the company through the dividend and capital gain. However the holders have also a chance of receiving no dividend and capital loss.</p> <p>Derivative security is a financial security that the price depends on the underlying assets or indexes, i.e. common stocks, foreign exchanges, bonds, commodities, Stock Index, foreign exchange rate, interest rates, and etc.</p> <p>Unit Trust is the security issued by a mutual fund management company in exchange for money and entitle holder to received dividend or capital gain for the return of the investment depends on the policy of the fund. However the holders have also a chance of receiving no dividend and capital loss.</p> |
| Classifications | <p>Market comprises of domestic and international. Domestic market refers to the market that locates within Thailand's territory, otherwise is international markets.</p> <p>Public and Private sectors</p> <ol style="list-style-type: none"> Public Sector comprises the general government government-owned corporations set up by specific laws, business units or companies owned by central government, or with central government holding shares by above 50 per cent, for both financial and non-financial corporations. Private Sector comprises households, companies, private entities, private educational institutions and hospitals, organizations with legal-entity status or special establishment laws (e.g., joint ventures, buildings of shared ownership, international organizations headquartered in Thailand), for both financial and non-financial corporations. <p>Security Market</p> |

Metadata

- 1. The Stock Exchange of Thailand (SET)** operates under the Securities and Exchange Act B.E. 2535 (A.D..1992). It serves as a center for trading listed securities and provides securities trading facilities such as a clearing house, securities depository center and securities registrar.
- 2. The Market for Alternative Investment (mai)** is the second stock exchange in Thailand. It focuses on the small and medium enterprises, innovative business and venture capital. The companies listed in this market must have at least 20 million registered capital compared to 300 million for those who are listed in the SET.
- 3. Over the counter trading (OTC)** refers to the trade negotiation between a seller and a buyer outside the SET and the mai.

Security type

- 1. Public securities** are debt issued by the ministry of finance or other government agencies including public corporations for public policy purpose.
 - 1.1 Government bond is the debt security age over one year.
 - 1.2 State-owned enterprise bond refers to medium to long term debt securities that are issued by the state-owned enterprise.
 - 1.3 Bank of Thailand bond and the FIDF bond are issued by the Bank of Thailand and the Financial Institution Development fund, respectively. The latter is for financing the cost of financial system stabilization.
 - 1.4 Special Organization bonds are those that not defined in the above categories mostly to finance the public policy activities such as investment or price subsidies e.g. the oil fund bond or DAD SPV's debenture.
 - 1.5 Treasury bill is the short-term government debt securities.
 - 1.6 Promissory note for debt restructuring is the short-term debt securities issued by the government direct to the commercial banks or specific banks.
- 2 Private securities**
 - 2.1 Debt securities are debt securities issued by private sector that under the SEC supervision. They comprise debentures, bills of exchange (BE), promissory note (PN), depository receipt, and others
 - 2.2 Securities traded in the Exchange
 - 2.2.1 A common stock is representing equity ownership in a corporation. Typically, common stockholders have voting rights to elect the company's board of directors and receive dividends at the rate as agreed in the general meeting of shareholders. In the event of liquidation, common stockholders have rights to a company's assets only after bondholders, other debt holders, and preferred stockholders have been satisfied.
 - 2.2.2 A Preferred stock is a security that shows ownership in a corporation and that gives the holder a claim prior to the claim of common stockholders on earnings and also generally on assets in the event of liquidation. Most preferred stock issues pay a fixed dividend set at the time of issuance and it can pay higher or lower than common stock.
 - 2.2.3 A warrant is a security that gives holder an option to buy underlying securities at the stated date and price. The holder can choose not to exercise the option, if the price of security is lower than the warrant's exercise price. It is usually issued with the new common stock issuance.
 - 2.3 Derivatives comprises derivative warrant, transferable subscription

| Metadata | |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | right, depository receipt and transferable custody receipt 2.4 Unit trust |
| Source of data | <ol style="list-style-type: none"> 1. The Bank of Thailand 2. The Stock Exchange of Thailand 3. The Securities and Exchange Commission Office 4. The Thai Bond Market Association |
| Accessibility | Thailand Financial Instruments Information Center (TFIIC)'s website (www.tfiic.org) |
| Revision policy | Revision is made when updated data become available. |
| Remark | |