

Classification of Financial Instruments (CFI Code): ISO 10962

CFI Code is the code for classifying financial instruments in order to identify the type and characteristics of each financial instrument in accordance with international standards. The International Standard Organization (ISO) has established and maintained the CFI Code. The structure and meaning of CFI Code are followings;

CFI Code consists of 6 digits.

The first digit is an instrument category. There are 6 groups of instrument category including equities, debt instruments, entitlements (rights), options, futures, and other (miscellaneous).

The second digit is a specific group for each type of instrument.

The third - sixth digit is an attribute value which indicates a special feature of the group. In case it cannot clearly define or classify the special feature, put the character equal to X.

Classification of CFI codes

ตัวอักษรตัวแรก Categories	ตัวอักษรตัว ที่ 2 Groups	ตัวอักษรที่ 3 – 6				CFI Code
		1 st attribute	2 nd attribute	3 rd attribute	4 th attribute	
E = Equities						(E-**-**-*)
	S= Shares, i.e. common/ordinary					(E-S-**-*)
		Voting Right	Ownership	Payment Status	Form	CFI Code
		E = Enhanced voting				(E-S-E-**-*)
		N = Non-voting				(E-S-N-**-*)
		R = Restricted voting				(E-S-R-**-*)
		V = Voting				(E-S-V-**-*)
			T = Restrictions			(E-S-T-**-*)
			U = Free			(E-S-U-**-*)
				F = Fully paid		(E-S-F-**-*)
				O = Nil paid		(E-S-O-**-*)
				P = Partly paid		(E-S-P-**-*)
					A = Registered depository receipt	(E-S-A-**-*)
					B = Bearer	(E-S-B-**-*)
					N = Bearer/Registered	(E-S-N-**-*)
					R = Registered	(E-S-R-**-*)
					Z = Bearer depository receipt	(E-S-Z-**-*)
	P= Preferred shares					(E-P-**-*)
	R = Preference shares					(E-R-**-*)
	C= Convertible shares					(E-C-**-*)
	F = Preferred convertible shares					(E-F-**-*)
	V= Preference convertibles shares					(E-V-**-*)

ตัวอักษรตัวแรก Categories	ตัวอักษรตัว ที่ 2 Groups	ตัวอักษรที่ 3 – 6				CFI Code
		1 st attribute	2 nd attribute	3 rd attribute	4 th attribute	
	U = Units, i.e. unit trusts/mutual funds/OPCVM/OICVM					(E-U-**-**)
	M = Others (Miscellaneous)					(E-M-**-**)

Example : CFI Code = D-B-F-G-A-A (Debt Instrument/Bonds/Fixed interest rate/Guaranteed/Amortization Plan/Registered depository receipt)

ตัวอักษรตัวแรก Categories	ตัวอักษรตัวที่ 2 Groups	ตัวอักษรที่ 3 – 6				CFI Code
		Type of interest	Guarantee	Redemption/Reimbursement	Form	
D = Debt Instruments						(D-*****)
	B = Bonds	F = Fixed interest rate	G = Guaranteed	A = Amortization Plan	A = Registered depository receipt	(D-B-F-G-A-A)
	C = Convertible bonds					(D-C-*****)
	W = Bonds with warrants attached					(D-W-*****)
	T = Medium-term notes					(D-T-*****)
	Y = Money market instruments					(D-Y-*****)
	M = Others (Miscellaneous)					(D-M-*****)
R = Entitlements (Rights)						(R-*****)
	A = Allotment rights					(R-A-*****)
	S = Subscription rights					(R-S-*****)
	P = Purchase rights					(R-P-*****)
	W = Warrants					(R-W-*****)
	M = Others (Miscellaneous)					(R-M-*****)
O = Options						(O-*****)
	C = Call options					(O-C-*****)
	P = Put options					(O-P-*****)
	M = Others (Miscellaneous)					(O-M-*****)
F = Futures						(F-*****)
	F = Financial Futures					(F-F-*****)
	C = Commodities Futures					(F-C-*****)
M = Others (Miscellaneous)						(M-*****)
	R = Referential Instruments, e.g. Currencies, Commodities, Interest Rates, Indices					(M-R-*****)
	M = Other assets (Miscellaneous), e.g. Real Estate, Insurance Policies					(M-M-*****)

ตัวอย่างประเภทอื่น ๆ

E-S-N-T-P-B = Equities/Shares/Non-voting/Restrictions/Partly paid/Bearer

E-S-X-X-X-X = Equities/Shares (no more details)

O-P-A-S-P-S = Options/Put/American/Stock/Physical/Standard

F-F-I-X-X-X = Futures/Financial/Index

F-X-X-X-X = Futures (no more details)

R-W-X-X-X = Rights/Warrant (no more details)

CFI Codes are available at

<http://www.iso.org/iso/search.htm?qt=iso+10962&searchSubmit=Search&sort=rel&type=simple&published=on> and <http://www.anna-web.com/index.php/home/cfiaiso10962>